

Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)

Attorney's Docket No.:	JG-YY-4952	
U.S. Application No.:	09/508,026	
International Application No.:	PCT/JP98/03983	
International Filing Date:	SEPTEMBER 04, 1998	04 SEPTEMBER 1998
Priority Date Claimed:	SEPTEMBER 04, 1997	04 SEPTEMBER 1997
Title of Invention:	EPOXYSUCCINAMIDE DERIVATIVES	
Applicant(s) for (DO/EO/US):	Yutaka NOMURA, Toshihiro TAKAHASHI, Yasushi YOSHINO and Koichiro NISHIOKA	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- [X] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- [] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 23.
- [] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- [] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a) __ is transmitted herewith (required only if not transmitted by the International Bureau)
 - b) __ has been transmitted by the International Bureau
 - c) __ is not required, as the application was filed in the United States Receiving Office (RO/US)
- [] 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- [] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a) __ are transmitted herewith (required only if not transmitted by the International Bureau)
 - b) __ have been transmitted by the International Bureau
 - c) __ have not been made; however, the time limit for making such amendments has NOT expired.
 - d) __ have not been made and will not be made
- [] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- [X] 9. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- [] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

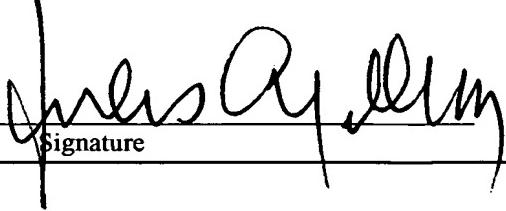
Items 11. to 16. below concern other document(s) or information included:

- [] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- [] 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
- [] 13. X A **FIRST** preliminary amendment
 — A **SECOND** or **SUBSEQUENT** preliminary amendment
- [] 14. A substitute specification
- [] 15. A change of power of attorney and/or address letter
- [X] 16. (other items or information) **PCT/DE/EO/905 dated April 14, 2000.**

EXPRESS MAIL No.: EL 138 360 788 US Deposited: May 5, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.


Ruth Montalvo Date: May 5, 2000

					CALCULATIONS	PTO USE ONLY
<input type="checkbox"/> 17. The following fees are submitted: <u>BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]</u>						
<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO..... \$ 840.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 670.00 <input type="checkbox"/> No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 690.00 <input type="checkbox"/> Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 970.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 96.00						
ENTER APPROPRIATE BASIC FEE AMOUNT:					\$.00	
Claims Total Claims Indep. Claims		Number filed -20 -03	Number extra x \$ 18. = x \$ 78. =			
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)					+ \$260. =	
TOTAL OF ABOVE CALCULATIONS:					\$.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [X] 30 months from the earliest claimed priority date [37 CFR 1.492(e)]					\$ 130.00	
TOTAL OF ABOVE CALCULATIONS:					\$ 130.00	
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity Statement must be filed. [Note 37 CFR 1.9, 1.27, 1.28]					- \$.00	
SUBTOTAL:					\$ 130.00	
Processing fee of \$130.00 for furnishing the English Translation later than [] 20 [] 30 months from the earliest claimed priority date [37 CFR 1.492(f)]					\$.00	
TOTAL NATIONAL FEE:					\$ 130.00	
Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +					\$.00	
TOTAL FEE(S):					\$ 130.00	
AMOUNTS TO BE REFUNDED OR CHARGED					REFUNDED CHARGED	\$ \$
<input checked="" type="checkbox"/> Check in the amount of \$ 130.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.)						
NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO: Jules E. Goldberg, Esq. McAulay Nissen Goldberg & Kiel, LLP 261 Madison Avenue New York, NY 10016-2391						
<u>Jules E. Goldberg</u> Name (Tel. (212) 986-4090)		 Signature			24,408 Reg. No.	May 5, 2000 Date

410 Rec'd PCT/PTO 05 MAY 2000
261 Madison Avenue
New York, NY 10016-2391
Phone: (212) 986-4090
Fax: (212) 818-9479
E-MAIL: MFNGK@AS.COM

EXPRESS MAIL No.: EL 138 360 788 US

Deposited: May 5, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT, Assistant Commissioner for Patents, Washington, DC 20231

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Ruth Montalvo

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 31 2000

Docket No.: JG-YY-4952

TECH CENTER 1600/2900

Applicant(s): Yutaka NOMURA, Toshihiro TAKAHASHI, Yasushi YOSHINO and Koichiro NISHIOKA

Serial No.: 09/508,026

(Int'l Appln. PCT/JP98/03983 filed September 4, 1998)

Filed: Concurrently herewith

For: EPOXYSUCCINAMIDE DERIVATIVES

National Phase of PCT Application No. PCT/JP98/03983 filed 04 SEPTEMBER 1998

TRANSMITTED HEREWITH IS THE FOLLOWING

- 1. Transmittal Letter to the United States Designated/Elected Office (PTO-1390);
- 2. Executed Declaration/POA;
- 3. PCT/EO/DO/905 dated April 14, 2000;
- 4. Check in the amount of \$ 130.00

The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.

Respectfully submitted,

Jules E. Goldberg - Reg. No. 24,408

JEG:ram

Jules E. Goldberg